

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

• (Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P21222 Total Pages

Inventor(s) or Application Identifier
Yoshimi OBARA

Title: ASTIGMATIC-POWER SPECTACLE LENS

JC879 U.S. PRO
09/977705

2 ADDRESS TO:

**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231**

APPLICATION ELEMENTS

1. Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
 - a. Small Entity Statement(s)
3. Specification [Total Pages 25]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 USC 113) [Total Sheets 13]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy) Unexecuted
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 20 completed)
[Note Box 6 below]
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Incorporation By Reference (useable if Box 5b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. Application Data Sheet. See 37 CFR 1.76
8. CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

9. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
- c. Statements verifying identity of above copies

10. Assignment Papers (cover sheet & document(s))

11. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)

12. English Translation Document (if applicable)

13. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

14. Preliminary Amendment

15. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

16. Figure of Drawing to be published _____ 1 _____

17. Foreign priority claimed

- a. Claim of Priority
- b. Certified Copy of Priority Document(s)

18. Assignee: ASAHI KOGAKU KOGYO KABUSHIKI KAISHA of Tokyo, JAPAN

19. Other: Cover Letter Regarding Executed Application

20. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____.
Prior application information: Examiner: _____ Group Art Unit: _____

21. Amend the specification by inserting before the first line the sentence:
This application is a __ continuation-in-part, __ continuation, __ divisional, of Application No. _____/_____, filed _____.

Address all future correspondence to **Customer No. 7055** at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

Date

Leslie M. Dwyer Aug 16
Signature 33,329

Bruce H. Bernstein, Reg No. 29,027
Typed or Printed Name

A standard linear barcode is located at the bottom of the page, consisting of vertical black lines of varying widths on a white background.

FEE TRANSMITTAL

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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u> 2. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR Prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Examiner action</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Design issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Submission of IDS</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="3"></td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) (\$)<u>40</u></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee Code	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		Bruce H. Bernstein		Reg. Number	29,027
Signature		<i>Leslie H. Bernstein Reg. No. 33,329</i>		Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yoshimi OBARA

Applications Branch

Appln. No. : Not yet assigned

Filed : Concurrently herewith

For : ASTIGMATIC-POWER SPECTACLE LENS

COVER LETTER REGARDING EXECUTED APPLICATION

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

The undersigned points out that the executed application being filed herewith includes pages 1 - 3 of the executed declaration which were forwarded to the undersigned by facsimile by Applicant's Japanese representative on October 15, 2001, and pages 1 - 25 of the specification (with Figures 1 - 19) which were earlier forwarded to the undersigned by Applicant's Japanese representative on October 11, 2001. Further, the undersigned has been advised by the Japanese representative that the inventor had reviewed the application before executing the declaration.

Accordingly, Applicant respectfully submits that the present application is properly executed and should be considered as filed in executed form. However, if the U.S. Patent and Trademark Office determines that the present application is not properly executed,

P21222.A01

Applicant respectfully requests that the present application be treated as an unexecuted application under 37 C.F.R. 1.53(f).

Should there be any questions regarding this paper, please contact the undersigned at the ~~below~~ listed number.

Respectfully submitted,
Yoshimi OBARA

Leslie J. Daperenen Reg. No.
Bruce H. Bernstein *33,329*
Reg. No. 29,027

October 15, 2001
GREENBLUM & BERNSTEIN, P.L.C.
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